

# A Generalized Mahonian Statistic on Absorption Ring Mappings

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Based on a coin-tossing scheme, a generalized Mahonian statistic is defined on absorption ring mappings and applied in obtaining combinatorial interpretations of

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## 1. INTRODUCTION

Let  $S_n$  be the symmetric group on  $\{1, 2, \dots, n\}$ . The *inversion number* and the *major index* of a permutation  $\sigma = \sigma(1) \sigma(2) \cdots \sigma(n) \in S_n$  are defined as

$$\text{inv } \sigma = \# \{ (i, j) : 1 \leq i < j \leq n, \sigma(i) > \sigma(j) \} \quad \text{and} \quad \text{maj } \sigma = \sum i,$$

where  $\#A$  denotes the cardinality of set  $A$  and the sum is over the *descent set*  $\{i : 1 \leq i < n, \sigma(i) > \sigma(i+1)\}$  of  $\sigma$ . It is well known that

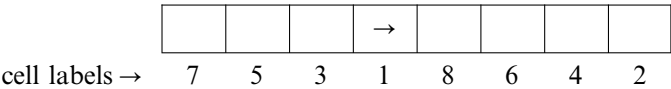
$$\sum_{\sigma \in S_n} q^{\text{inv } \sigma} = [n]! = \sum_{\sigma \in S_n} q^{\text{maj } \sigma} \quad (1)$$

where  $[i] = (1 + q + q^2 + \cdots + q^{i-1})$  and  $[n]! = [1][2] \cdots [n]$  are the  $q$ -analog of  $i$  and the  $q$ -factorial of  $n$ , respectively. The first equality in (1) is due to Rodriguez [23]. MacMahon [14, 15] obtained a result more general than (1).

A statistic  $s : S_n \rightarrow \{1, 2, \dots, n(n-1)/2\}$  is said to be *Mahonian* if  $\sum_{\sigma \in S_n} q^{s(\sigma)} = [n]!$ . Besides  $\text{inv}$  and  $\text{maj}$ , many new Mahonian statistics have recently been discovered (see Foata and Zeilberger [6], Galovich and White [7], Han [8, 9], Kadell [10], Liang and Wachs [12], Rawlings [17], and Zeilberger and Bressoud [24]).

Using a scheme based on Bernoulli trials, a generalized Mahonian statistic is herein defined on a set of functions called *absorption ring mappings*.

The coin-tossing scheme, dubbed the *absorption ring process*, is as follows. A ring of  $n$  cells, one distinguished from the rest, is said to be an *absorption ring* of length  $n$ :

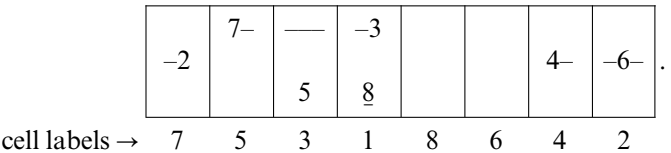


EXAMPLE 1

For convenience, the ring is layed out as a strip with the distinguished cell at the extreme left. The leftmost and rightmost cells are to be viewed as being attached at their outer edges. Also, in a one-to-one manner, each cell is assigned a label from  $\{1, 2, \dots, n\}$ . Above, the cells are labeled according to the permutation  $l = 7\ 5\ 3\ 1\ 8\ 6\ 4\ 2 \in S_8$ . The arrow in cell 1 indicates the direction in which the ring is to be *traversed*.

A sequence of  $j \geq 1$  distinct integers is said to be a  $j$ -particle. A 0-particle is an underlined integer. For instance,  $\underline{4}$ –6–2 is a 3-particle and  $\underline{3}$  is a 0-particle. For integers  $j_1, j_2, \dots, j_k \geq 0$ , let  $\mathbf{J} = (J_1, J_2, \dots, J_k)$  denote a fixed  $k$ -tuple of particles where  $J_v$  is a  $j_v$ -particle and no integer appears in more than one particle. The integers appearing in such tuples will be restricted to the set  $\{1, 2, \dots, n\}$ . Thus, we require that  $j_1 + j_2 + \dots + j_k \leq n$ .

The absorption ring process of *type*  $\mathbf{J}$  begins by inserting  $J_1$  into  $j_1$  cells, one integer per cell, according to a *placement rule* Pl. A coin with probability  $q < 1$  of landing tails up is then tossed until heads occurs. For each tails,  $J_1$  moves one cell in the direction in which the ring is traversed. The particle  $J_1$  comes to rest (is *absorbed*) when a head occurs. For  $2 \leq v \leq n$ ,  $J_v$  is similarly propelled into the ring as if the cells occupied by integers belonging to  $J_1, J_2, \dots, J_{v-1}$  had been removed. Underlined integers are viewed as “not occupying space” and cells in which only they appear are not removed from consideration. For instance, suppose  $n = 8$ ,  $\mathbf{J} = (\underline{4}$ –6–2, 5, 8, 7–3), and that  $J_v$  is initially placed in the leftmost  $j_v$  empty cells for  $1 \leq v \leq 4$ . If the sequences of Bernoulli trials for the four particles are TTTTTHTHTHTTTTH (written as a single sequence), then the outcome is



EXAMPLE 2

Note that 7–3 traces out one *orbit* before coming to rest.

In increasing order, let  $i_1, i_2, \dots, i_m$  be the integers (underlined or not) in  $\mathbf{J}$ . The outcome may be encoded as a function  $f: \{i_1, i_2, \dots, i_m\} \rightarrow \{1, 2, \dots, n\}$ ; if  $i_k$  is absorbed in cell  $c_k$ , then define  $f(i_k) = c_k$ . Referred to as an *absorption ring mapping*,  $f$  will be represented by the list  $f(i_1) f(i_2) \cdots f(i_m)$  of its range values. The set of such mappings is denoted by  $AR_n(\mathbf{J})$ . In Example 2,  $\{i_1, i_2, \dots, i_7\} = \{2, 3, 4, 5, 6, 7, \underline{8}\}$  and  $f = 7\ 1\ 4\ 3\ 2\ 5\ 1 \in AR_8(4-6-2, 5, 8, 7-3)$ .

The *minimal flipping sequence* of  $f \in AR_n(\mathbf{J})$ , denoted by mfs  $f$ , is defined to be the shortest sequence of coin tosses that generates  $f$ . Further, let  $|f|$  be the number of tails in mfs  $f$ . For  $f = 7\ 1\ 4\ 3\ 2\ 5\ 1$  in Example 2, mfs  $f = \text{TTTTTHTH}$  and  $|f| = 8$ . Theorem 1 is proved in Section 2.

**THEOREM 1.** *Let  $l \in S_n$  be an absorption ring labeling,  $\mathbf{J} = (J_1, J_2, \dots, J_k)$  a  $k$ -tuple of particles, and  $Pl$  a rule that specifies the initial placement of  $J_v$  into the cells left unoccupied by the absorptions of  $J_1, J_2, \dots, J_{v-1}$ . The probability of  $f \in AR_n(\mathbf{J})$  being generated by the absorption ring process is*

$$M_n(f) = \frac{q^{|f|}}{[n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}]}.$$

The main result of this article follows as an immediate corollary: Since  $M_n$  is a measure,  $\sum_{f \in AR_n(\mathbf{J})} M_n(f) = 1$ . Thus, Theorem 1 implies

$$\sum_{f \in AR_n(\mathbf{J})} q^{|f|} = [n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}]. \quad (2)$$

Specializations of (2) are shown in Section 3 to agree with the multinomial theorem and with the usual expansion of the product  $[n]!$ .

When  $\mathbf{J}$  is an  $n$ -tuple of 1-particles,  $AR_n(\mathbf{J}) = S_n$  and (2) reduces to

$$\sum_{\sigma \in S_n} q^{|\sigma|} = [n]!.$$

Thus,  $||$  is Mahonian for any  $l$  and  $Pl$ . In this case,  $||$  is equivalent to the generalized Mahonian statistic considered by Han [8, p. 41] and as such extends many known Mahonian statistics. In Section 4, the choices of  $l$  and  $Pl$  are given for which  $||$  reduces to the inversion number, major index,  $r$ -major index, and Denert's statistic. Also presented in Section 4 is an illustration of how (2) gives “Mahonian” interpretations for “partial”  $q$ -factorials such as  $[2][4] \cdots [2n]$ .

In Section 5, a modification of the absorption ring process is used to obtain MacMahon's aforementioned generalization of (1) on *rearrangements*. The modification, previously discussed in less generality in [19, 21], is achieved by allowing the absorption capacity to vary by cell.

Some remarks are in order. The absorption ring process generalizes a coin-tossing game considered by Moritz and Williams [16]. The consideration of an abstract placement rule was motivated by Knuth's [11, solution to exercise 24 of 5.1.1] generalized shooting order for Russian roulette (which corresponds to Han's [9, p. 41] "future-suite"). Stripped of probabilistic considerations, the absorption ring is equivalent to the cyclic intervals employed by Han [8, 9]. The adjective absorption was coined by Johnson and Kotz [13] in connection with a related process introduced by Blomqvist [2]. Similar to the derivation of (2), variations on Blomqvist's process were exploited in [21, 22] to deduce several classical  $q$ -identities in the theory of partitions.

## 2. PROOF OF THEOREM 1

For  $f \in AR_n(\mathbf{J})$ , let  $|f|_v$  be equal to the number of tails applied to  $J_v$  in mfs  $f$ . In other terms,  $|f|_v$  is the minimum number of tails required in the generation of  $f$  for  $J_v$  to reach its rest position (determined by  $f$ ) from its initial placement. Clearly,  $|f| = |f|_1 + |f|_2 + \cdots + |f|_k$ .

For a given  $f \in AR_n(\mathbf{J})$ , suppose that  $J_1, J_2, \dots, J_{v-1}$  have been absorbed in the positions that lead to the generation of  $f$ . As the number of unoccupied cells is  $(n - j_1 - j_2 - \cdots - j_{v-1})$  and as a particle may sweep through any number of orbits before coming to rest, the probability of  $J_v$  being absorbed in the position required for the outcome to be  $f$  is

$$\begin{aligned} & \sum_{\mu \geq 0} q^{|f|_v + \mu(n - j_1 - j_2 - \cdots - j_{v-1})} (1 - q) \\ &= q^{|f|_v} (1 - q) \sum_{\mu \geq 0} q^{\mu(n - j_1 - j_2 - \cdots - j_{v-1})} \\ &= \frac{q^{|f|_v} (1 - q)}{1 - q^{n - j_1 - j_2 - \cdots - j_{v-1}}} = \frac{q^{|f|_v}}{[n - j_1 - j_2 - \cdots - j_{v-1}]}. \end{aligned}$$

The desired result follows from the independence of Bernoulli trials:

$$M_n(f) = \frac{q^{|f|_1}}{[n]} \frac{q^{|f|_2}}{[n - j_1]} \cdots \frac{q^{|f|_k}}{[n - j_1 - j_2 - \cdots - j_{k-1}]}.$$

### 3. SOME PRODUCT EXPANSIONS

Let  $\langle n \rangle_i \mathbf{J}$  denote the number of mappings  $f \in AR_n(\mathbf{J})$  with  $|f| = i$ . Formula (2) may then be rewritten as

$$[n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}] = \sum_{i \geq 0} \langle n \rangle_i \mathbf{J} q^i. \quad (3)$$

Note that  $\langle n \rangle_i \mathbf{J} = 0$  for  $i > (n-1) + (n-j_1-1) + \cdots + (n-j_1-\cdots-j_{k-1}-1)$ .

Three cases of (3) are considered below. The first two show that (3) agrees with the usual combinatorial expansions of  $[n]^k$  and of  $[n]!$ . For each,  $Pl$  is taken as the rule that calls for  $J_v$  to be initially inserted in the leftmost available  $j_v$  cells.

*The Multinomial Expansion of  $[n]^k$ .* For  $l = 1 \ 2 \ \dots \ n \in S_n$  and the  $k$ -tuple  $\mathbf{J} = (\underline{1}, \underline{2}, \dots, \underline{k})$  of 0-particles, (3) reduces to

$$[n]^k = \sum_{i=0}^{k(n-1)} \langle n \rangle_i \mathbf{J} q^i. \quad (4)$$

The sum in (4) may be regrouped in more familiar terms. As 0-particles occupy no space, placement in the leftmost available cell means that each 0-particle is initially put in cell 1. Note that the minimum number of tails required in generating a function that takes on the value  $\mu$ ,  $1 \leq \mu \leq n$ , exactly  $m_\mu$  times is  $m_2 + 2m_3 + \cdots + (n-1)m_n$ . Thus,

$$\langle n \rangle_i \mathbf{J} = \sum_{\substack{m_1 + m_2 + \cdots + m_n = k \\ m_2 + 2m_3 + \cdots + (n-1)m_n = i}} \binom{k}{m_1 \ m_2 \ \cdots \ m_n}.$$

Formula (4) may then be rewritten so as to reveal the multinomial expansion of  $[n]^k$ , namely

$$\begin{aligned} & (1 + q + \cdots + q^{n-1})^k \\ &= \sum_{m_1 + m_2 + \cdots + m_n = k} \binom{k}{m_1 \ m_2 \ \cdots \ m_n} q^{m_2 + 2m_3 + \cdots + (n-1)m_n}. \end{aligned}$$

*A Combinatorial Expansion of  $[n]!$ .* For  $l = n \ \dots \ 2 \ 1 \in S_n$  and the  $k$ -tuple  $\mathbf{J} = (k, \dots, 2, 1)$  of 1-particles, (3) becomes

$$[n][n-1] \cdots [n-k+1] = \sum_{i=0}^m \langle n \rangle_i \mathbf{J} q^i \quad (5)$$

where  $m = (n-1) + (n-2) + \cdots + (n-k)$ . Let  $I_{n,i} = \#\{\sigma \in S_n : \text{inv } \sigma = i\}$ . From Table I in Section 4, it follows that  $I_{n,i} = \langle n \rangle_{\mathbf{J}}^i$  for  $k=n$ . Thus, (5) implies

$$[n]! = \sum_{i=0}^{n(n-1)/2} I_{n,i} q^i.$$

The above expansion for  $[n]!$  and the coefficients  $I_{n,i}$  have been considered in some detail (see Comtet [3, p. 236–240] and Moritz and Williams [16]).

*An Expansion of a Rogers–Ramanujan-Type Product.* For an absorption ring with  $(5n-1)$  cells, let  $l = 1\ 2 \cdots (5n-1) \in S_{5n-1}$  and take  $\mathbf{J} = (1-2-3, 4-5, 6-7-8, 9-10, \dots, (5n-4)-(5n-3)-(5n-2))$  to be an alternating  $(2n-1)$ -tuple of particles of sizes 3 and 2. Then (3) implies that

$$[5n-1][5n-4][5n-6][5n-9] \cdots [4][1] = \sum_{i \geq 0} \left\langle \begin{matrix} 5n-1 \\ i \end{matrix} \right\rangle_{\mathbf{J}} q^i.$$

As  $[m] = (1-q^m)/(1-q)$ , the preceding equality may be rewritten as

$$\begin{aligned} \prod_{i=1}^n (1-q^{5i-1})(1-q^{5i-4}) &= (1-q)^{2n} \sum_{i \geq 0} \left\langle \begin{matrix} 5n-1 \\ i \end{matrix} \right\rangle_{\mathbf{J}} q^i \\ &= \sum_{i \geq 0} \sum_{m=0}^i (-1)^m \binom{2n}{m} \left\langle \begin{matrix} 5n-1 \\ i-m \end{matrix} \right\rangle_{\mathbf{J}} q^i. \end{aligned}$$

#### 4. SOME SPECIALIZATIONS OF $|\cdot|$ ON PERMUTATIONS

In the permutation case, many known Mahonian statistics coincide with specializations of  $|\cdot|$ . Several examples are summarized in Table I. Unless otherwise stated, the ring in this section has  $n$  cells,  $c_v$  is the label of the

TABLE I

$ \cdot $	$l \in S_n$	$\mathbf{J}$	$Pl: J_v$ Is Inserted in the First Available Cell Encountered as the Ring Is Traversed Starting with
inv	$n \cdots 2\ 1$	$(n, \dots, 2, 1)$	cell $n$
maj	$n \cdots 2\ 1$	$(n, \dots, 2, 1)$	cell $c_{v-1}$
ind <sub>r</sub>	$n \cdots 2\ 1$	$(n, \dots, 2, 1)$	cell $n$ if $c_{v-1} + r - 1 \geq n$ and cell $c_{v-1} + r - 1$ otherwise
comaj	$1\ 2 \cdots n$	$(1, 2, \dots, n)$	cell $c_{v-1}$
den	$n \cdots 2\ 1$	$(n, \dots, 2, 1)$	cell $n+1-v$

cell in which  $J_v$  is absorbed, and each placement rule calls for  $J_1$  to be inserted in the leftmost available cell. Also, the passage of the process from the rightmost to the leftmost empty cell is referred to as an *orbit*.

*The r-Major Index.* For  $r \geq 1$ , the *r-major index* of  $\sigma \in S_n$  is defined in [17] to be

$$\text{ind}_r \sigma = \# \{ (i, j) : 1 \leq i < j \leq n, \sigma(i) > \sigma(j) > \sigma(i) - r \} + \sum i,$$

where the sum is over the set  $\{ i : 1 \leq i < n, \sigma(i) \geq \sigma(i+1) + r \}$  of *r-descents* of  $\sigma$ . For instance,  $\text{ind}_3 1\,7\,2\,6\,3\,5\,4 = 5 + (2 + 4) = 11$ . On  $S_n$ , note that  $\text{ind}_1 = \text{maj}$  and  $\text{ind}_n = \text{inv}$ .

Pick  $l = n \cdots 2\,1 \in S_n$ , let  $\mathbf{J} = (n, \dots, 2, 1)$ , and suppose that  $Pl$  calls for  $J_v = n + 1 - v$  to be placed in the first empty cell encountered as the ring is traversed from cell  $n$  if  $c_{v-1} + r - 1 \geq n$  and from cell  $c_{v-1} + r - 1$  otherwise. Roughly speaking, the process may fall to the left up to  $r - 1$  cells each time a head occurs. The outcome for  $n = 7$ ,  $r = 3$ , and the sequence TTTHTHTTHTTTHTH is

	2	4	6	7	5	3	1	.
cell labels $\rightarrow$	7	6	5	4	3	2	1	

EXAMPLE 3

The mapping generated is a permutation  $\sigma \in S_n = AR_n(\mathbf{J})$ :  $\sigma(i) = c$  where  $c$  is the cell in which  $i$  comes to rest. In Example 3,  $\sigma = 1\,7\,2\,6\,3\,5\,4 \in S_7$ . Note that  $|\sigma| = 11 = \text{ind}_3 \sigma$ .

For  $\sigma \in S_n$ , let  $\text{rmfs } \sigma$  denote the minimal flipping sequence of  $\sigma$  written in reverse. The reason that  $|| = \text{ind}_r$  may be seen by comparing  $\sigma$  with  $\text{rmfs } \sigma$ . Relative to Example 3, consider

$$\begin{array}{l} \text{rmfs } \sigma = \text{H H} \quad | \quad \text{T HT HT}_4 \quad | \quad \text{TT HTT HT}_6 \text{ HT}_7 \text{ T}_7 \text{ T}_6 \\ \sigma = 1 \quad 7 \quad \textcolor{blue}{3} > \quad 2 \quad 6 \quad \textcolor{blue}{3} > \quad 3 \quad 5 \quad 4 \end{array} \quad (6)$$

where  $\textcolor{blue}{3} >$ 's highlight 3-descents in  $\sigma$  and each bar indicates the completion of an orbit. In general, there is a one-to-one correspondence between *r*-descents in  $\sigma$  and orbits generated by  $\text{mfs } \sigma$ . For  $1 \leq j \leq n$ , let

$$I_r(j) = \# \{ i : i < j \leq n, \sigma(i) > \sigma(j) > \sigma(i) - r \} .$$

Note that  $I_r(j)$  is equal to the number of empty cells that the process falls left when the head that generates  $\sigma(j)$  is tossed. In (6),  $I_3(7) = 2$  and the process falls left from cell 4 to cell 6. Also, the contribution of the two

tails subscripted by 7 in (6) made towards generating the first orbit are “negated”. For  $1 \leq j \leq n$ , subscript any tail by  $j$  that is negated by a fall associated with the head that generates  $\sigma(j)$ . The number of subscripted T’s in rmfs  $\sigma$  is equal to the first term in the definition of  $\text{ind}_r \sigma$ . Furthermore, note that the contribution to  $\text{ind}_r \sigma$  made by the  $i$ th  $r$ -descent counted from right to left in  $\sigma$  is equal to the number of unsubscripted tails between the  $(i-1)$ st and  $i$ th bars counted from right to left in rmfs  $\sigma$ . It follows that  $|\sigma| = \text{ind}_r \sigma$ .

In the case  $r = 1$ , an equivalent process was considered by Moritz and Williams [16]. The connection of their process with the Mahonian statistic known as the comajor index was made by Rawlings and Treadway [20].

*Denert’s Statistic.* For  $\sigma \in S_n$ , Denert’s statistic [4], denoted by  $\text{den } \sigma$  and as defined by Foata and Zeilberger [6], is the number of ordered pairs  $(i, j)$ ,  $1 \leq i < j \leq n$ , satisfying

$$\begin{aligned} \text{(a)} \quad & \sigma(i) \leq j \text{ or } \sigma(i) > \sigma(j) && \text{if } \sigma(j) > j \text{ or} \\ \text{(b)} \quad & \sigma(j) < \sigma(i) \leq j && \text{if } \sigma(j) \leq j. \end{aligned}$$

An index  $j$  such that  $\sigma(j) > j$  is known as an exceedance. The permutation  $\sigma = 7\,3\,2\,6\,1\,5\,4 \in S_7$  has three exceedances ( $j = 1, 2, 4$ ) and  $\text{den } \sigma = 11$ .

The statistic  $||$  is equal to  $\text{den}$  when  $l = n \cdots 2\,1 \in S_n$ ,  $\mathbf{J} = (n, \dots, 2, 1)$ , and  $Pl$  calls for  $J_\nu = n + 1 - \nu$  to be placed in the first empty cell encountered in traversing the ring from cell  $n + 1 - \nu$  (i.e., the  $\nu$ th cell from the left). For  $n = 7$ , the sequence TTTHTHTTTHTTTHTHHTH generates

1	4	6	7	2	3	5	.
cell labels $\rightarrow$	7	6	5	4	3	2	1

### EXAMPLE 4

The associated permutation  $\sigma = 7\,3\,2\,6\,1\,5\,4 \in S_7$  satisfies  $|\sigma| = 11 = \text{den } \sigma$ .

Towards proving that  $|| = \text{den}$ , compare  $\sigma$  of Example 4 with rmfs  $\sigma$ :

$$\begin{array}{cccccccccccc} \text{rmfs } \sigma = & \text{H} & | & \text{HT}_2 & | & \text{HT} & \text{HT}_4 & | & \text{T}_4\text{T}_4 & \text{HTT} & \text{HT} & \text{HTTT} \\ \sigma = \hat{7} & & \hat{3} & & 2 & & \hat{6} & & & 1 & 5 & 4 \end{array} \tag{7}$$

where exceedances are marked by hats and bars indicate orbits. There is a one-to-one correspondence between exceedances and orbits generated by mfs  $\sigma$ . For an exceedance  $j$ , subscript by  $j$  each T occurring in the string of consecutive tails in rmfs  $\sigma$  to the right of the head that generates  $\sigma(j)$ . The number of subscripted T’s in rmfs  $\sigma$  is equal to the number of  $i$ ’s satisfying



(a) in the definition of den. In (7), the three  $T_4$ 's coincide to the contribution made by the exceedance  $j=4$  to den via part (a):  $\sigma(1)=7>6=\sigma(4)$ ,  $\sigma(2)=3\leq 4$ , and  $\sigma(3)=2\leq 4$ . Similarly, the number of consecutive plain T's in rmfs  $\sigma$  on the right of the head that generates a nonexceedance  $j$  is equal to the number of  $i$ 's satisfying (b). Thus,  $|\sigma| = \text{den } \sigma$ . This argument is equivalent to one used by Foata and Zeilberger [6].

*Remark 1.* For a ring of  $n$  cells and a fixed sequence  $\mathbf{J} = (J_1, J_2, \dots, J_n)$  of 1-particles, there are  $n$  distinct placement rules: For  $1 \leq v \leq n$ ,  $J_v$  may be inserted into any of the  $n+1-v$  cells that remain empty. For a fixed  $\mathbf{J}$  and a fixed labeling  $l \in S_n$ , the  $n!$  placement rules induce  $n!$  distinct Mahonian statistics on  $S_n$ .

*Remark 2.* The placement rules for the inversion number, major index, and Denert's statistic possess a certain natural geometry: From Table I, the  $Pl$ 's of these three statistics respectively call for  $\mathbf{J}_v$  to be placed in the leftmost empty cell, continue from where  $\mathbf{J}_{v-1}$  stopped, and to be inserted in the first empty cell on or above the "diagonal" ( $v$ th cell from the left). Another example of a geometrically motivated  $Pl$  is the "reflection" placement rule that calls for

(a)  $\mathbf{J}_1$  to be inserted in the leftmost cell and,

(b) if  $\mathbf{J}_{v-1}$  stops in the  $\mu$ th leftmost cell, then  $\mathbf{J}_v$  is to be placed in the first empty cell encountered starting from the  $\mu^{\text{th}}$  rightmost cell.

For  $Pl$  as above,  $l = n \cdots 2 \, 1 \in S_n$ , and  $\mathbf{J} = (n, \dots, 2, 1)$ , let  $\text{refl } \sigma = |\sigma|$ . To illustrate, note that mfs  $5 \, 2 \, 1 \, 3 \, 4 = \text{THTTTHTHTHH}$ . Thus,  $\text{refl } 5 \, 2 \, 1 \, 3 \, 4 = 6$ .

*Remark 3.* The absorption ring process also provides interpretations (in terms of permutations) for "partial"  $q$ -factorials such as  $[2][4] \cdots [2n]$ . For instance, for a ring with  $2n$  cells, one possibility is to take  $\mathbf{J}$  to be the sequence  $(2n-(2n-1), \dots, 4-3, 2-1)$  of  $n$  2-particles, the cell labeling as  $l = 2n \cdots 2 \, 1 \in S_{2n}$ , and  $Pl$  as the rule that calls for  $J_1$  to be placed in the leftmost two cells and  $J_v$  to be placed in the first two empty cells encountered in traversing the ring from where  $J_{v-1}$  was absorbed. Then  $AR_{2n}(\mathbf{J})$  is a subset of  $S_{2n}$ ,  $|\sigma| = \text{maj } \sigma$  for all  $\sigma \in AR_{2n}(\mathbf{J})$ , and we have

$$\sum_{\sigma \in AR_{2n}(\mathbf{J})} q^{\text{maj } \sigma} = [2][4] \cdots [2n].$$

By taking  $\mathbf{J}$  to be an alternating sequence of 2-particles and 3-particles ( $n$  of each), "Mahonian" interpretations for  $[3][5][8][10] \cdots [5n-2][5n]$  may similarly be obtained on subsets of  $S_{5n}$ .

## 5. THE STATISTIC $||$ ON REARRANGEMENTS

Let  $\mathbf{n} = (n_1, n_2, \dots, n_k)$  be a sequence of non-negative integers and put  $n = n_1 + n_2 + \dots + n_k$ . The set of mappings from  $\{1, 2, \dots, n\}$  to  $\{1, 2, \dots, k\}$  that take on the value  $i$  exactly  $n_i$  times will be denoted by  $R_{\mathbf{n}}$ . Often,  $R_{\mathbf{n}}$  is characterized as the set of *rearrangements* of the nondecreasing sequence containing  $n_i$   $i$ 's for  $1 \leq i \leq k$ . Note that  $R_{\mathbf{n}} = S_n$  when  $n_i = 1$  for  $1 \leq i \leq k$ .

The statistics  $\text{maj}$  and  $\text{inv}$  are defined on  $f \in R_{\mathbf{n}}$  by

$$\text{inv } f = \# \{ (i, j) : 1 \leq i < j \leq n, f(i) > f(j) \} \quad \text{and} \quad \text{maj } f = \sum i$$

where the sum is over the *descent set*  $\{i : 1 \leq i < n, f(i) > f(i+1)\}$  of  $f$ . The more general version of (1) obtained by MacMahon [14, 15] is

$$\sum_{f \in R_{\mathbf{n}}} q^{\text{inv } f} = \left[ \begin{matrix} n \\ n_1 n_2 \dots n_k \end{matrix} \right] = \sum_{f \in R_{\mathbf{n}}} q^{\text{maj } f}$$

where the middle expression denotes the  $q$ -multinomial coefficient defined by

$$\left[ \begin{matrix} n_1 \\ n_1 n_2 \dots n_k \end{matrix} \right] = \frac{[n]!}{[n_1]! [n_2]! \dots [n_k]!}.$$

A statistic  $s$  on  $R_{\mathbf{n}}$  with distribution given by the  $q$ -multinomial coefficient is said to be *Mahonian*.

The absorption ring process may be slightly modified so that  $||$  coincides with Han's [9, p.42] generalized Mahonian statistic on  $R_{\mathbf{n}}$ . The modification consists essentially of varying the absorption capacity by cell: In an absorption ring with  $k$  cells labeled by  $l \in S_k$ , cell  $i$  will be allowed to absorb  $n_i$  1-particles. To obtain a tractable result, the particles in  $\mathbf{J}$  will be limited to size 1. Furthermore, for the process to traverse cell  $i$ , a consecutive run of tails must occur equal in length to  $n_i$  minus the number of 1-particles previously absorbed in cell  $i$ . For example, suppose there are  $n = 3$  cells labeled by  $l = 1 \ 2 \ 3 \in S_3$  with respective capacities  $n_1 = 2 = n_2$  and  $n_3 = 1$ . If  $\mathbf{J} = (1, 2, 3, 4, 5)$  and  $Pl$  specifies the initial placement  $\mathbf{J}_v$  to be in the leftmost available (not filled to capacity) cell, then the sequence THTTHTHTTHH generates the outcome

$$\begin{array}{ccc} \text{cell capacities} \rightarrow & 2 & 2 & 1 \\ & \boxed{1, 4} & \boxed{3, 5} & \boxed{2} \\ \text{cell labels} \rightarrow & 1 & 2 & 3 \end{array}.$$

EXAMPLE 5

The generated function is  $f = 1\ 3\ 2\ 1\ 2 \in R_{(2,2,1)}$ . As  $\text{mfs } f = \text{HTTHTHTHH}$ ,  $|f| = 4$ . The following result holds.

**THEOREM 2.** *Let  $l \in S_k$  be a labeling of an absorption ring with  $k$  cells and suppose that cell  $i$  has absorption capacity  $n_i \geq 0$  for  $1 \leq i \leq k$ . Further, let  $n = (n_1 + n_2 + \dots + n_k)$ ,  $\mathbf{J} = (J_1, J_2, \dots, J_n)$  be an  $n$ -tuple of 1-particles, and  $Pl$  be a rule that specifies the initial placement of  $J_v$  into a cell not completely occupied by the absorptions of  $J_1, J_2, \dots, J_{v-1}$ . The probability of  $f \in R_n$  being generated by the absorption ring process is*

$$M_n(f) = q^{|f|} \left[ \begin{matrix} n \\ n_1\ n_2\ \dots\ n_k \end{matrix} \right]^{-1}.$$

*Proof.* Suppose the generation of  $f$  requires that  $J_v$  come to rest in  $c_v$ . As in the proof of Theorem 1, let  $|f|_v$  denote the minimum number of tails needed in generating  $f$  for  $J_v$  to reach  $c_v$ . As it takes  $n_1$  tails to traverse  $c_1$ , the probability of  $J_1$  being absorbed in cell  $c_1$  is

$$\sum_{\mu \geq 0} q^{|f|_1 + \mu n} (1 + q + \dots + q^{n_1-1}) (1 - q) = \frac{q^{|f|_1} [n_1]}{(1 - q^n)/(1 - q)} = \frac{q^{|f|_1} [n_1]}{[n]}.$$

The result follows from the independence of Bernoulli trials and induction.

As a corollary, we have that  $||$  is Mahonian for any  $l$ ,  $Pl$ , and  $\mathbf{J}$  an  $n$ -tuple of 1-particles: Since  $\sum_{f \in R_n} M_n(f) = 1$ , Theorem 2 implies that

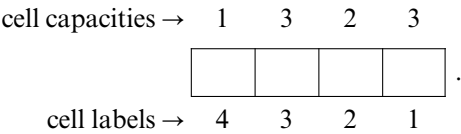
$$\sum_{f \in R_n} q^{|f|} = \left[ \begin{matrix} n \\ n_1\ n_2\ \dots\ n_k \end{matrix} \right].$$

The choices of  $l$ ,  $\mathbf{J}$ , and  $Pl$  for which  $||$  reduces to  $\text{inv}$ ,  $\text{maj}$ ,  $\text{ind}_r$ , and  $\text{den}$  on  $R_n$  (see [18, 9] for definitions of  $\text{ind}_r$  and  $\text{den}$  on  $R_n$ ) are displayed in Table II. As before,  $c_v$  is the label of the cell in which  $J_v$  comes to rest and each  $Pl$  calls for the placement of  $J_1$  in the leftmost cell.

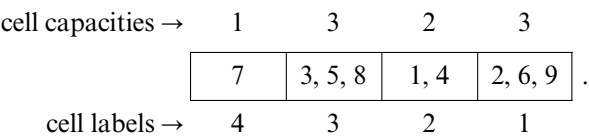
TABLE II

$  $	$l \in S_n$	$\mathbf{J}$	$Pl: J_v$ Is Inserted in the First Available Cell Encountered as the Ring Is Traversed Starting with
$\text{inv}$	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $k$
$\text{maj}$	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $c_{v-1}$
$\text{ind}_r$	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $k$ if $c_{v-1} + r - 1 \geq k$ and cell $c_{v-1} + r - 1$ otherwise
$\text{den}$	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $k + 1 - \mu$ where $\mu$ satisfies $\sum_{i=0}^{\mu-2} n_{k-i} < v \leq \sum_{i=0}^{\mu-1} n_{k-i}$

As a final example, the absorption ring process with the appropriate triple  $(l, \mathbf{J}, Pl)$  from Table II is used to compute  $\text{den } f$  for  $f = 2\ 1\ 3\ 2\ 3\ 1\ 4\ 3\ 1 \in R_{(3, 2, 3, 1)}$ . The associated ring is



As  $\mathbf{J} = (9, \dots, 2, 1)$  and  $0 < 1 \leq n_4 = 1$ ,  $J_1 = 9$  is inserted in cell 4. As  $J_1$  is absorbed in cell 1 and as  $1 = n_4 < 2, 3, 4 \leq n_4 + n_3 = 4$ , it follows that  $J_2 = 8$ ,  $J_3 = 7$ , and  $J_4 = 6$  are initially placed in cell 3. The outcome corresponding to  $f = 2\ 1\ 3\ 2\ 3\ 1\ 4\ 3\ 1$  is



### EXAMPLE 6

Since  $\text{mfs } f = \text{TTTTTTHHTTTTTTHTTTTTHTTTTHHTHHH}$ , we have that  $\text{den } f = |f| = 20$ .

## 6. CONCLUDING REMARK

For  $f \in AR_n(\mathbf{J})$ , let  $\text{orb } f$  be the minimum number of orbits needed to generate  $f$ . In the permutation case,  $\text{orb}$  is comparable to Han’s [9, p. 41] generalized exceedance number and to Knuth’s [11, exercise 24 of 5.1.1] generalized descent number. The joint distribution of  $(\text{orb}, |\cdot|)$  on  $AR_n(\mathbf{J})$  is considered in [1].

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